

1. Name of Listed Entity: Uma Converter Limited
2. Scrip Code/Name of Scrip/Class of Security: UMA
3. Share Holding Pattern Filed under: 31a
4. Share Holding Pattern as on : 28-Dec-2022
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares (IV)	No. of Partly paid-up equity shares (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
			As a % of (A+B+C2)	Class	Class	Total	Total as a % of (A+B+)	No. (a)	As a % of total Share	No. (a)		As a % of total Share					

								eg: X	eg:y		C)		(A+B+C2)		es held (b)		s held (b)	
A	Promoter & Promoter Group	8	13959966	0	0	13959966	68.86	13959966	0	13959966	68.86	0	68.86	13959966	100			13959966
B	Public	1043	6314320	0	0	6314320	31.14	6314320	0	6314320	31.14	0	31.14	738320	11.69			6314320
C	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
C1	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total	1051	20274286	0	0	20274286	100	20274286	0	20274286	100	0	100	14698286	72.5			20274286

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of older shares (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of underlying Depository Receipts (VI)	Total shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights	No. of Shares (X)	Shareholding, as a % assuming full conversion of convertible securities (including Warrants) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)			
								As a % of (A+B+C2) (VIII)	No of Voting Rights					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)				
									Class X	Class Y									Total		
																				No. of Shares	Shareholding, as a %
Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
Individuals/Hindu undivided Family		8	13959966	0	0	13959966	68.86	13959966	0	13959966	68.86	0	13959966	100			13959966				
NIRMALA LODHA	AAJPL2957N	1	5651101	0	0	5651101	27.87	5651101	0	5651101	27.87	0	5651101	100			5651101				
SUMER RAJ LODHA	AAJPL2966R	1	4046188	0	0	4046188	19.96	4046188	0	4046188	19.96	0	4046188	100			4046188				
GANPAT BHANSALI	AAOPB9107D	1	10000	0	0	10000	0.05	10000	0	10000	0.05	0	10000	100			10000				
ABHISHEK SUMERRAJ LODHA	ACPP L6980K	1	2640214	0	0	2640214	13.02	2640214	0	2640214	13.02	0	2640214	100			2640214				
LILA DEVI BOTHRA	AEYPB0537R	1	100000	0	0	100000	0.49	100000	0	100000	0.49	0	100000	100			100000				
SHRADHA LODHA	AHZPD4172E	1	760000	0	0	760000	3.75	760000	0	760000	3.75	0	760000	100			760000				

	SUMERRAJ LODHA & SONS HUF	AAB HS70 58H	1	58846 3	0	0	588463	2.9	58846 3	0	588 463	2.9	0	2.9	5884 63	100		588463
	ABHISHEK LODHA AND SONS (HUF)	AAC HL40 43A	1	16400 0	0	0	164000	0.81	16400 0	0	164 000	0.81	0	0.81	1640 00	100		164000
b	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
c	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
d	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (A)(1)		8	13959 966	0	0	139599 66	68.86	13959 966	0	139 599 66	68.86	0	68.86	1395 9966	100		13959966
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
a	Individuals (Non- Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Total		8	13959 966	0	0	139599 66	68.86	13959 966	0	139 599 66	68.86	0	68.86	1395 9966	100		13959966

Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)			966			66		966		59966				9966				
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Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
l	Institutions (Domestic)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Mutual Funds		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
c	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
d	Banks		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
e	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
f	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
g	Asset reconstruction companies		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
h	Sovereign Wealth Funds		0	0	0	0	0	0	0	0	0	0	0	0	0			0	

	(B)(2)																		
3	Central Government / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Central Government / President of India		0	0	0	0	0	0	0	0	0	0	0	0	0				0
b	State Government / Governor		0	0	0	0	0	0	0	0	0	0	0	0	0				0
c	Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter		0	0	0	0	0	0	0	0	0	0	0	0	0				0
	Sub-Total (B)(3)		0	0	0	0	0	0	0	0	0	0	0	0	0				0
4	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Associate companies / Subsidiaries		0	0	0	0	0	0	0	0	0	0	0	0	0				0
b	Directors and their relatives (excluding independent directors and nominee directors)		0	0	0	0	0	0	0	0	0	0	0	0	0				0
c	Key Managerial		0	0	0	0	0	0	0	0	0	0	0	0	0				0

	Personnel																		
d	Relatives of promoters (other than "Immediate Relatives" of promoters disclosed under "Promoter and Promoter Group" category)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Trusts where any person belonging to "Promoter and Promoter Group" category is "trustee", "beneficiary", or "author of the trust"	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
f	Investor Education and Protection Fund (IEPF)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
g	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	919	400600	0	0	400600	19.76	400600	0	400600	19.76	0	19.76	174000	4.34			4006000	
h	Resident Individuals holding	16	104400	0	0	104400	5.15	104400	0	104400	5.15	0	5.15	392000	37.55			1044000	

	nominal share capital in excess of Rs. 2 lakhs																	
	VINEY PARKASH	AAH PP22 97D	1	244000	0	0	244000	1.2	244000	0	244000	1.2	0	1.2	0	0		244000
i	Non Resident Indians (NRIs)		14	116000	0	0	116000	0.57	116000	0	116000	0.57	0	0.57	0	0		116000
j	Foreign Nationals		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
k	Foreign Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
l	Bodies Corporate		12	596320	0	0	596320	2.94	596320	0	596320	2.94	0	2.94	92320	15.48		596320
	SMC GLOBAL SECURITIES LIMITED	AAA CS05 81R	1	300000	0	0	300000	1.48	300000	0	300000	1.48	0	1.48	0	0		300000
m	Any Other (specify)		82	552000	0	0	552000	2.72	552000	0	552000	2.72	0	2.72	80000	14.49		552000
	Clearing member		1	92000	0	0	92000	0.45	92000	0	92000	0.45	0	0.45	0	0		92000
	Other		80	456000	0	0	456000	2.25	456000	0	456000	2.25	0	2.25	80000	17.54		456000
	Trust		1	4000	0	0	4000	0.02	4000	0	4000	0.02	0	0.02	0	0		4000
	Sub-Total (B)(4)		1043	6314320	0	0	6314320	31.14	6314320	0	6314320	31.14	0	31.14	738320	11.69		6314320
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		1043	6314320	0	0	6314320	31.14	6314320	0	6314320	31.14	0	31.14	738320	11.69		6314320

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
2	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %